



Docket No. 43016-A-PCT-US/JPW/SHS

TECH CENTER 1600/2900

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In re application of: Philip O. Livingston and Friedhelm Helling

Serial No.: 08/196,154

Group Art Unit: 1645

Filed: November 16, 1995

Examiner: P. Duffy

For: GANGLIOSIDE-KLH CONJUGATE VACCINES WITH QS-21

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

S I R:

July 27, 2001

Transmitted herewith is an amendment to the above-identified application.

X Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.

 a verified statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27 is enclosed.

 No additional fee is required.

The filing fee is calculated as follows:

	NUMBER AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXTRA CLAIMS PRESENTED	RATE		FEE	
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	20	* 28	*** 0	9	18	0	0
Independent Claims	3	** 12	*** 0	40	80	0	0
Multiple Dependent Claims(s) Presented <u> </u> Yes <u>X</u> No				135	270	0	0
For First Time:				TOTAL ADDITIONAL \$ 0			

*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

**If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

***If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in the space.

Applicants: Philip O. Longston and Friedhelm Helling
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Amendment Transmittal Letter
Page 2

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

____ Please charge Deposit Account No. _____
in the amount of \$ _____.

X A check in the amount of \$ 1300.00 is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3125. Three copies of this sheet are enclosed.

X Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.


X Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,



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Spencer H. Schneider
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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

 7-27-01
John P. White Date
Reg. No. 28,678
Spencer H. Schneider
Reg. No. 45,923



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Philip O. Livingston and Friedhelm Helling

Serial No.: 08/196,154

Group Art Unit: 1806

Filed : November 16, 1995

Examiner: P. Duffy

For : GANGLIOSIDE-KLH CONJUGATE VACCINES WITH QS-21

1185 Avenue of the Americas
New York, New York 10036
July 27, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

**AMENDMENT IN RESPONSE TO JUNE 27, 2000
FINAL OFFICE ACTION AS A FIRST SUBMISSION**

This Amendment is submitted in response to a June 27, 2000 Final Office Action issued by the United States Patent and Trademark Office and as a first submission pursuant to a Communication Requesting Withdrawal of Finality under 37 C.F.R. 1.129(a) of the June 27, 2000 Final Office Action. Accordingly, this Amendment is being timely filed.

Please amend the subject application as follows:

In the claims:

Please cancel claims 100 and 112 without disclaimer or prejudice to applicants' right to pursue the subject matter of these claims in a later-filed application. Please amend claims 97, 110-111, 113-115 and 117 under the provisions of 37 C.F.R. § 1.121(c) as follows. A marked up version of amended claims 97, 110-111, 113-115 and 117